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CDT498 GB

Patent application number (The Patent Office will fill in this part)

0326138.5

3. Full name, address and postcode of the or of each applicant (underline all surnames)

CAMBRIDGE DISPLAY TECHNOLOGY LIMITED

CAMBRIDGE UNIVERSITY TECHNICAL SERVICES LIMITED

Greenwich House **Madingley Rise** Madingley Road Cambridge CB3 OTX The Old Schools Trinity Lane Cambridge **CB2 1TN**

If the applicant is a corporate body, give the

United Kingdom

United Kingdom 8206484006

country/state of its incorporation

Patents ADP number (if you know it)

6166441006

4. Title of the invention

POLYMERS, THEIR PREPARATION AND USES

5. Name of your agent (if you have one)

"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)

c/o IP Department Cambridge Display Technology Limited **Greenwich House Madingley Rise** Madingley Road. Cambridge CB3 OTX

Patents ADP number (if you know it)

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Country

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Number of earlier application

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a) any applicant named in part 3 is not an inventor, or

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11.

I/We request the grant of a patent on the basis of this application.

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06 November 2003

Name and daytime telephone number of person to contact in the United Kingdom

Matthew Shade Tel: 01223 723514

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DUPLICATE

Polymers, their preparation and uses

Field of the Invention

The invention relates to polymers for electronic and optical applications and the synthesis thereof.

Background of the Invention

Organic semiconductors are attracting increasing attention across a wide range of applications due to their advantageous electronic properties and their processability. One class of opto-electrical devices is that using an organic material for light emission (an organic light-emissive device or "OLED") or for light absorption for the purpose of power generation or light detection (a photovoltaic device). The basic structure of these devices is a semiconducting organic layer, sandwiched between a cathode for injecting or accepting negative charge carriers (electrons) and an anode for injecting or accepting positive charge carriers (holes) into or from the organic layer. For example, an OLED is typically fabricated on a glass or plastic substrate coated with a transparent first electrode such as indium-tin-oxide ("ITO"). A layer of a thin film of at least one electroluminescent organic material covers the first electrode. Finally, a cathode covers the layer of electroluminescent organic material. The cathode is typically a metal or alloy and may comprise a single layer, such as aluminium, or a plurality of layers such as calcium and aluminium. Other layers can be added to the device, for example to improve charge injection from the electrodes to the electroluminescent material. For example, a hole injection layer such as poly(ethylene dioxythiophene) / polystyrene sulfonate (PEDOT-PSS) or polyaniline may be provided between the anode and the electroluminescent material. In a practical device one of the electrodes is transparent, to allow the photons to escape or enter the device.

In the case of an OLED, holes are injected into the highest occupied molecular orbital (HOMO) of the electroluminescent material and electrons are injected into its lowest unoccupied molecular orbital (LUMO). Holes and electrons then combine to generate excitons which undergo radiative decay, the wavelength of emission being at least partially dependant on the HOMO-LUMO bandgap. Organic materials for use as lightemissive materials include polymers such as poly(p-phenylenevinylene) (as disclosed in WO 90/13148), polyfluorenes and polyphenylenes; the class of materials known as small

molecule materials such as tris-(8-hydroxyquinoline)aluminium ("Alq₃") as disclosed in US 4,539,507; and the class of materials known as dendrimers as disclosed in WO 99/21935. These materials electroluminesce by radiative decay of singlet excitons (i.e. fluorescence) however spin statistics dictate that up to 75% of excitons are triplet excitons which undergo non-radiative decay, i.e. quantum efficiency may be as low as 25% for fluorescent OLEDs and so these materials or similar materials capable of transporting charge may be used as hosts for dopants comprising heavy metal complexes capable of harvesting triplet excitons for radiative decay (phosphorescence) as disclosed in, for example, *Pure Appl. Chem.*, 1999, 71, 2095, Materials Science & Engineering, R: Reports (2002), R39(5-6), 143-222 and Polymeric Materials Science and Engineering (2000), 83, 202-203.

Polyfluorenes having a repeat unit of formula (A) are disclosed in for example, Adv. Mater. 2000 12(23) 1737-1750:

wherein R represents a solubilising group such as n-octyl.

These polymers have attracted considerable interest as electroluminescent materials because they are solution processable and have good film forming properties. Furthermore, these polymers may be made by Yamamoto or Suzuki polymerisation, for which the appropriate monomers are accessed simply by halogenation of fluorene to form a 2,7-dihalofluorene. These polymerisation techniques enable polymerisation of fluorene monomers with a wide range of aromatic co-monomers and afford a high degree of control over regioregularity of the polymer. Thus, the physical and electronic properties of polyfluorenes may be tailored by appropriate selection of monomers.

Linkage of the fluorene repeat units through the 2- and 7- positions is important for maximisation of conjugation through the repeat unit.

A focus in the field of PLEDs has been the development of full colour displays for which red, green and blue electroluminescent polymers are required — see for example

Synthetic Metals 111-112 (2000), 125-128. To this end, a large body of work has been reported in the development of electroluminescent polymers for each of these three colours with red, green and blue emission as defined by PAL standard 1931 CIE coordinates.

A difficulty encountered with blue electroluminescent polymers to date is that their lifetime (i.e. the time taken for brightness to halve from a given starting brightness at fixed current) tends to be shorter than that of corresponding red or green materials. One of the factors that has been proposed as contributing to the more rapid degradation of blue materials is that their LUMO levels, and consequently the energy level of the charged state following injection of an electron into the LUMO, tend to be less deep (i.e. relatively low electron affinity) than those of corresponding red or green materials. It is therefore possible that materials comprising these lower electron affinities are less electrochemically stable and so more prone to degradation.

For simplicity, a full colour display will preferably have a common cathode material for all three electroluminescent materials. Thus, the problem of a large energy gap between the LUMO and the workfunction of the cathode for a typical blue electroluminescent is likely to be exacerbated where a common cathode suitable for red and green materials is employed.

A blue electroluminescent material having a higher electron affinity than polyfluorenes or a material capable of injecting electrons into blue electroluminescent polymers is therefore desirable, however increasing the electron affinity of a wide bandgap material will tend to result in a smaller bandgap thus making the material less suitable as a blue emitter or as an electron transporting material for a blue emitter.

A further drawback of polyfluorenes is that blue electroluminescent polyfluorenes have a tendency to shift over time towards longer wavelengths, i.e. towards a redder colour of emission. This effect is believed to be due to oxidative degradation and aggregation of the polymer.

EP 1318163 discloses a monomer of formula (B), and electroluminescent polymers derived therefrom:

Likewise, JP 2003-206289 discloses a monomer of formula (C) and polymers derived therefrom:

These disclosures teach formation of the above dibenzosilole monomers either via (a) lithiation of the 2- and 7- positions of the corresponding non-halogenated compound followed by halogen exchange, or (b) by the following process:

In case (a), the alkoxy groups serve to direct lithiation at the adjacent 2- and 7- positions. Likewise, in case (b) the alkoxy groups serve to direct bromination in the same way. Although these alkoxy groups are significant in monomer synthesis, they are likely to cause repeat units derived from such monomers to suffer from steric interference with

adjacent repeat units resulting in a twist in the polymer backbone and loss of conductivity. Furthermore, the electron donating nature of these alkoxy groups decreases the electron affinity of polymers derived from these monomers.

A further drawback of polymers derived from monomers (B) and (C) is that the phenyl and methyl groups of these monomer do not afford solubility in common organic solvents such as xylene.

It is therefore an object of the invention to provide a wide bandgap polymer having higher electron affinity than a polyfluorene, i.e. a material capable of blue emission and capable of serving as an electron transporting material for other blue and smaller bandgap emissive materials. It is a further object of the invention to provide such a polymer that does not suffer from undesirable steric effects; that does not suffer from a colour shift over time; and that is readily soluble in common organic solvents.

Summary of the Invention

The present inventors have found a novel class of dibenzosiloles that solve the aforementioned drawbacks of polyfluorenes.

Accordingly, in a first aspect the invention provides a polymer comprising an optionally substituted first repeat unit of formula (I):

$$R$$
 R
 R
 R
 R

wherein each R is the same or different and represents H or an electron withdrawing group; and each R¹ is the same or different and represents a substituent.

(1)

Preferred electron withdrawing groups are selected from: groups comprising fluorine, cyano, nitro, carboxyl, amides, ketones, phosphinoyl, phosphonates, sulfones and esters. Preferred groups comprising fluorine include fluorine atoms, fluoroalkyl, fluoroaryl and fluoroheteroaryl.

Other electron withdrawing groups R will be apparent to the skilled person. In particular, those substituents having a positive Hammett sigma constant are suitable.

The present inventors have found that polymers according to the first aspect of the invention are high electron affinity, wide bandgap materials. In contrast to the prior art, polymers according to the invention do not possess electron-donating groups in the 3- and 6- positions that lessen the electron affinity of the dibenzosilole units.

In order to avoid steric interactions between adjacent repeat units, it is preferred that at least one R group is hydrogen. More preferably, both R groups are hydrogen.

Preferably, at least one R¹ is a solubilising group.

Preferably, each R^1 is the same or different and is selected from the group consisting of optionally substituted C_{1-20} alkyl, C_{1-20} alkoxy, aryl and heteroaryl. More preferably, each R^1 is independently a C_{4-10} alkyl, most preferably n-hexyl or n-octyl.

Preferably, the polymer comprises an optionally substituted aryl or heteroaryl second repeat unit.

In a second aspect, the invention provides a monomer of formula (II):

wherein R and R¹ are as described in the first aspect of the invention and each X is the same or different and represents a polymerisable group.

Preferably, each X is the same or different and is selected from the group consisting of boronic acid groups, boronic ester groups, borane groups and halide functional groups.

In a third aspect, the invention provides a method of forming a polymer comprising the step of polymerising the monomer of formula (II).

Preferably, each X is independently selected from the group consisting of boronic acid groups, boronic ester groups and borane groups and halide functional groups and the polymerisation is performed in the presence of a transition metal catalyst.

In one preferred embodiment of the third aspect, each X is the same or different and is a halide functional group, and the polymerisation is performed in the presence of a nickel complex catalyst.

In another preferred embodiment of the third aspect, the method comprises polymerising:

- (a) a monomer of formula (II) wherein each X is the same or different and is a boron derivative functional group selected from a boronic acid, a boronic ester and a borane, and an aromatic monomer having at least two reactive halide functional groups; or
- (b) a monomer of formula (II) wherein each X is the same or different and is a reactive halide functional group, and an aromatic monomer having at least two boron derivative functional group selected from a boronic acid, a boronic ester and a borane; or
- (c) a monomer of formula (II) wherein one X is a reactive halide functional group and the other X is a boron derivative functional group selected from a boronic acid, a boronic ester and a borane,

wherein the reaction mixture comprises a catalytic amount of a palladium catalyst suitable for catalysing the polymerisation of the aromatic monomers, and a base in an amount sufficient to convert the boron derivative functional groups into boronate anionic groups.

In a fourth aspect, the invention provides an optical device comprising a polymer according to the first aspect of the invention.

Preferably, the optical device comprises an anode, a cathode and a layer of the polymer according to the first aspect of the invention located between the anode and the cathode.

Preferably, the optical device is an electroluminescent device.

In a fifth aspect, the invention provides a switching device comprising a polymer according to the first aspect of the invention.

Preferably, the switching device is a thin film transistor.

The present inventors have found that monomers of formula (II) may be formed from a class of key intermediates that do not require the presence of ortho-directing groups.

Accordingly, in a sixth aspect the invention provides an optionally substituted compound of formula (IV):

$$X^1$$
 X^2
 X^2
 X^1
 X^2
 X^2
 X^1
 X^2
 X^2

wherein each X^1 and each X^2 is the same or different and represents a leaving group capable of participating in a transmetallation reaction and X^2 has an electronegativity less than that of X^1 .

Preferably, both X^1 groups are the same and both X^2 groups are the same. Where the two groups X^1 and I or the two groups I are different, it will be appreciated that the electronegativity of the least electronegative I group shall be greater than the electronegativity of the most electronegative I group.

Preferably, each X^1 and X^2 is independently a halogen. More preferably, X^1 and X^2 are selected from bromine, chlorine and iodine. Most preferably, X^1 is bromine and X^2 is iodine.

The compound of formula (IV) serves as an intermediate to a variety of monomers including but not limited to dibenzosiloles.

Accordingly, in a seventh aspect the invention provides a method of forming a monomer of formula (VI) from a compound of formula (V) according to the following scheme:

wherein the method comprises reacting the compound of formula (V) with a transmetallating agent followed by reaction with a compound of formula LG-Y-LG, wherein X¹ and R are as defined in the sixth aspect of the invention; each X³ is the same or different and represents a leaving group capable of participating in a transmetallation having an electronegativity less than or the same as that of X¹; Y represents a divalent residue comprising a backbone of 1-3 atoms; and each LG is the same or different and represents a leaving group.

By "transmetallating agent" is meant a compound capable of reacting with the C-X² bond of the compound of formula (IV) to transform it into a carbon-metal bond.

Preferably, Y comprises a single atom in its backbone selected from the group consisting of $-CR^3_{2^-}$, $-SiR^3_{2^-}$, $-NR^3_{-}$, $-PR^3_{-}$, O and S, wherein R^3 is selected from the group consisting of optionally substituted alkyl, alkoxy, aryl and heteroaryl. Preferably, each R^3 is the same or different and is a C_{1-20} alkyl.

Preferably, each LG is the same or different and is a halogen, more preferably chlorine, bromine or iodine.

Preferably, the transmetallating agent is a compound of formula R^4 -M wherein R^4 is alkyl or aryl and M is a metal. Preferably, M is lithium. Preferably, R^4 is C_{1-4} alkyl or phenyl

Brief Description of the Drawings

The present invention will now be described in further detail, by way of example only, with reference to the accompanying drawings in which:

FIGURE 1 shows a prior art electroluminescent device

FIGURE 2(a) shows a plot of photoluminescent wavelength over time for a prior art polyfluorene

FIGURE 2(b) shows a plot of photoluminescent wavelength over time for a co-polymer according to the invention

FIGURE 2(c) shows a plot of photoluminescent wavelength over time for a homopolymer according to the invention

FIGURE 3 shows a plot of electroluminescent wavelength for polymers according to the invention as compared to a prior art polyfluorene

Detailed Description of the Invention

A polymer according to the present invention may comprise a homopolymer or copolymers (including terpolymers or higher order polymers).

Copolymers according to the present invention include regular alternating, random and block polymers where the percentage of each monomer used to prepare the polymer may vary.

Preferred co-repeat units include triarylamines, arylenes and heteroarylenes.

Examples of arylene repeat units are fluorene, particularly 2,7-linked 9,9 dialkyl fluorene or 2,7-linked 9,9 diaryl fluorene; spirofluorene such as 2,7-linked 9,9-spirofluorene; indenofluorene such as a 2,7-linked indenofluorene; or phenyl such as alkyl or alkoxy substituted 1,4-phenylene. Each of these groups may optionally be substituted.

Particularly preferred triarylamine repeat units derived from triarylamine monomers include units of formulae 1-6:

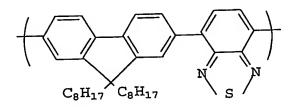
A and B may be the same or different and are substituent groups. It is preferred that one or both of A and B is independently selected from the group consisting of alkyl, arylin perfluoroalkyl, thioalkyl, cyano, alkoxy, heteroaryl, alkylaryl and arylalkyl groups. One or more of A and B also may be hydrogen. It is preferred that one or more of A and B is independently an unsubstituted, isobutyl group, an n-alkyl, an n-alkoxy or a trifluoromethyl group because they are suitable for helping to select the HOMO level and/or for improving solubility of the polymer.

Particularly preferred heteroaryl repeat units include units of formulae 7-21:

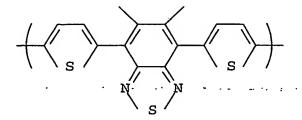
wherein R_6 and R_7 are the same or different and are each independently hydrogen or a substituent group, preferably alkyl, aryl, perfluoroalkyl, thioalkyl, cyano, alkoxy, heteroaryl, alkylaryl or arylalkyl. For ease of manufacture, R_6 and R_7 are preferably the same. More preferably, they are the same and are each a phenyl group.

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Further suitable Ar groups are known in this art, for example as disclosed in WO 00/55927 and WO 00/46321, the contents of which are incorporated herein by reference.

For ease of processing, it is preferred that the polymer is soluble. Substituents such as C_{1-10} alkyl or C_{1-10} alkoxy may usefully be selected to confer on the polymer solubility in a particular solvent system. Typical solvents include mono- or poly-alkylated benzenes such as toluene and xylene or tetrahydrofuran. Techniques for solution deposition of the polymer according to the invention include inkjet printing as disclosed in EP 0880303, spin-coating, dip-coating, doctor blade coating and screen printing.

The polymers according to the invention may carry cross-linkable groups such as oxetanes, azides, acrylates, vinyl and ethynyl groups in order that the polymer may be deposited in a soluble form followed by cross-linking to render the polymer insoluble. Cross-linking may be achieved through thermal treatment or exposure of the polymer to radiation, in particular UV radiation. Cross-linking may be employed to allow deposition

of multiple layers from solution as disclosed in WO 96/20253. Alternatively, photo-initiated cross-linking may be used by exposure of a polymer layer through a mask to form a pattern of insoluble material from which unexposed, soluble polymer may be removed by solvent treatment as disclosed in Nature 421, 829-833, 2003.

Two polymerisation techniques that are particularly amenable to preparation of conjugated polymers from aromatic monomers such as dibenzosilole monomers according to the invention are Suzuki polymerisation as disclosed in, for example, WO 00/53656 and Yamamoto polymerisation as disclosed in, for example, "Macromolecules", 31, 1099-1103 (1998). Suzuki polymerisation entails the coupling of halide and boron derivative functional groups; Yamamoto polymerisation entails the coupling of halide functional groups. Accordingly, it is preferred that each monomer is provided with two reactive functional groups wherein each functional group is independently selected from the group consisting of (a) boron derivative functional groups selected from boronic acid groups, boronic ester groups and borane groups and (b) halide functional groups.

The transmetallating agents used to prepare monomers of the invention include alkyland aryl-lithium compounds such as methyllithium, n-butyllithium, t-butyllithium, phenyllithium and lithium di-isopropyl amine.

Examples of monomers of formula (VI) preparable according to the method of the invention include the following:

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With reference to Figure 1, the standard architecture of an optical device according to the invention, in particular an electroluminescent device, comprises a transparent glass or plastic substrate 1, an anode of indium tin oxide 2 and a cathode 4. The polymer according to the invention is located in layer 3 between anode 2 and cathode 4. Layer 3 may comprise the polymer according to the invention alone or a plurality of polymers. Where a plurality of polymers are deposited, they may comprise a blend of at least two of a hole transporting polymer, an electron transporting polymer and, where the device is a PLED, an emissive polymer as disclosed in WO 99/48160. Alternatively, layer 3 may be formed from a single polymer that comprises regions selected from two or more of hole transporting regions, electron transporting regions and emissive regions as disclosed in, for example, WO 00/55927 and US 6353083. Each of the functions of hole transport, electron transport and emission may be provided by separate polymers or separate regions of a single polymer. Alternatively, more than one function may be performed by a single region or polymer. In particular, a single polymer or region may be capable of both charge transport and emission. Each region may comprise a single repeat unit, e.g. a triarylamine repeat unit may be a hole transporting region. Alternatively, each region may be a chain of repeat units, such as a chain of polyfluorene or dibenzosilole units as an electron transporting region. The different regions within such a polymer may be provided along the polymer backbone, as per US 6353083, or as groups pendant from the polymer backbone as per WO 01/62869.

In addition to layer 3, a separate hole transporting layer and / or an electron transporting layer may be provided.

The polymers according to the invention may be used as the host for a fluorescent dopant as disclosed in, for example, J. Appl. Phys. 65, 3610, 1989 or as the host for a phosphorescent dopant as disclosed in, for example, Nature (London), 1998, 395, 151.

Preferred metal complexes comprise optionally substituted complexes of formula (V):

$$M^{1}L^{1}{}_{q}L^{2}{}_{r}L^{3}{}_{s}$$

(V)

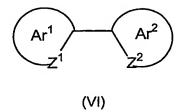
wherein M^1 is a metal; each of L^1 , L^2 and L^3 is a coordinating group; q is an integer; r and s are each independently 0 or an integer; and the sum of (a. q) + (b. r) + (c.s) is equal to the number of coordination sites available on M, wherein a is the number of coordination sites on L^1 , b is the number of coordination sites on L^2 and c is the number of coordination sites on L^3 .

Heavy elements M induce strong spin-orbit coupling to allow rapid intersystem crossing and emission from triplet states (phosphorescence). Suitable heavy metals M include:

- lanthanide metals such as cerium, samarium, europium, terbium, dysprosium,
 thulium, erbium and neodymium; and
- d-block metals, in particular those in rows 2 and 3 i.e. elements 39 to 48 and 72 to 80, in particular ruthenium, rhodium, palladium, rhenium, osmium, iridium, platinum and gold.

Suitable coordinating groups for the f-block metals include oxygen or nitrogen donor systems such as carboxylic acids, 1,3-diketonates, hydroxy carboxylic acids, Schiff bases including acyl phenols and iminoacyl groups. As is known, luminescent lanthanide metal complexes require sensitising group(s) which have the triplet excited energy level higher than the first excited state of the metal ion. Emission is from an f-f transition of the metal and so the emission colour is determined by the choice of the metal. The sharp emission is generally narrow, resulting in a pure colour emission useful for display applications.

The d-block metals form organometallic complexes with carbon or nitrogen donors such as porphyrin or bidentate ligands of formula (VI):



wherein Ar^1 and Ar^2 may be the same or different and are independently selected from optionally substituted anyl or heteroaryl; Z^1 and Z^2 may be the same or different and are

independently selected from carbon or nitrogen; and Ar^1 and Ar^2 may be fused together. Ligands wherein Z^1 is carbon and Z^2 is nitrogen are particularly preferred.

Examples of bidentate ligands are illustrated below:

Each of Ar¹ and Ar² may carry one or more substituents. Particularly preferred substituents include fluorine or trifluoromethyl which may be used to blue-shift the emission of the complex as disclosed in WO 02/45466, WO 02/44189, US 2002-117662 and US 2002-182441; alkyl or alkoxy groups as disclosed in JP 2002-324679; carbazole which may be used to assist hole transport to the complex when used as an emissive material as disclosed in WO 02/81448; bromine, chlorine or iodine which can serve to functionalise the ligand for attachment of further groups as disclosed in WO 02/68435 and EP 1245659; and dendrons which may be used to obtain or enhance solution processability of the metal complex as disclosed in WO 02/66552.

Other ligands suitable for use with d-block elements include diketonates, in particular acetylacetonate (acac); triarylphosphines and pyridine, each of which may be substituted.

Main group metal complexes show ligand based, or charge transfer emission. For these complexes, the emission colour is determined by the choice of ligand as well as the metal. A wide range of fluorescent low molecular weight metal complexes are known and have been demonstrated in organic light emitting devices [see, e. g., Macromol. Sym. 125 (1997) 1-48, US-A 5,150,006, US-A 6,083,634 and US-A 5,432,014], in particular tris-(8-hydroxyquinoline)aluminium. Suitable ligands for di or trivalent metals include: oxinoids, e. g. with oxygen-nitrogen or oxygen-oxygen donating atoms, generally a ring nitrogen atom with a substituent oxygen atom, or a substituent nitrogen atom or oxygen atom with a substituent oxygen atom such as 8-hydroxyquinolate and hydroxyquinoxalinol-10-hydroxybenzo (h) quinolinato (II), benzazoles (III), Schiff bases,

azoindoles, chromone derivatives, 3-hydroxyflavone, and carboxylic acids such as salicylato amino carboxylates and ester carboxylates. Optional substituents include halogen, alkyl, alkoxy, haloalkyl, cyano, amino, amido, sulfonyl, carbonyl, aryl or heteroaryl on the (hetero) aromatic rings which may modify the emission colour.

The metal complex may be incorporated into the host polymer of the invention, either as a substituent on the main chain of the polymer or incorporated into the main chain of the polymer, as disclosed in, for example, EP 1245659, WO 02/31896, WO 03/18653 and WO 03/22908. In this case, the polymer may provide the functions of emission and at least one of hole transport and electron transport.

Although not essential, a layer of organic hole injection material (not shown) between the anode 2 and the polymer layer 3 is desirable because it assists hole injection from the anode into the layer or layers of semiconducting polymer. Examples of organic hole injection materials include poly(ethylene dioxythiophene) (PEDT / PSS) as disclosed in EP 0901176 and EP 0947123, or polyaniline as disclosed in US 5723873 and US 5798170.

Cathode 4 is selected from materials that have a workfunction allowing injection of electrons into the electroluminescent layer. Other factors influence the selection of the cathode such as the possibility of the adverse interactions between the cathode and the electroluminescent material. The cathode may consist of a single material such as a layer of aluminium. Alternatively, it may comprise a plurality of metals, for example a bilayer of calcium and aluminium as disclosed in WO 98/10621, elemental barium disclosed in WO 98/57381, Appl. Phys. Lett. 2002, 81(4), 634 and WO 02/84759 or a thin layer of dielectric material to assist electron injection, for example lithium fluoride disclosed in WO 00/48258 or barium fluoride, disclosed in Appl. Phys. Lett. 2001, 79(5), 2001.

A typical electroluminescent device comprises an anode having a workfunction of 4.8 eV. Accordingly, the HOMO level of the hole transporting region is preferably around 4.8-5.5 eV. Similarly, the cathode of a typical device will have a workfunction of around 3 eV. Accordingly, the LUMO level of the electron transporting region is preferably around 3-3.5 eV.

The polymers according to the invention may also be used in current switching devices for an integrated circuit as disclosed in, for example, WO 99/54936. In particular, the polymer may be a component of a field effect transistor comprising an insulator with a gate electrode located on one side of the insulator; a polymer according to the invention located on the other side of the insulator; and a drain electrode and a source electrode located on the polymer.

Electroluminescent devices may be monochrome devices or full colour devices (i.e. formed from red, green and blue electroluminescent materials).

Examples

Monomer Example

A monomer according to the invention was synthesised according to the following reaction scheme.

a) Cu, DMF, 125 °C, 3 h, 75 %; b) Sn, HCl, EtOH, 110 °C, 2 h, 72 %; c) (i) NaNO₂, HCl, 0 °C, 1 h (ii) Kl, -10 to 50 °C, 2 h, 15 %; d) (i) t-BuLi, THF, -90 to -78 °C, 2 h (ii) Si(n-hexyl)₂Cl₂, 24 h, 52 %; e) (i) t-BuLi, diethyl ether, -78 °C, 1 h (ii) 2-isopropoxy-4,4',5,5'-tetramethyl-1,3,2-dioxaboralane, room temperature, 24 h, 74 %.

4,4'-Dibromo-2,2'-dinitro-biphenyl

Reference: R. G. R. Bacon and S. G. Pande, J. Chem. Soc., 1970, 1967.

To a solution of 2,5-dibromonitrobenzene (50.0 g, 179 mmol) in DMF (200 cm³) was added copper powder (27.0 g, 424 mmol) and the reaction mixture heated to 125 °C. After 3 h, the mixture was allowed to cool to room temperature and then treated with toluene (200 cm³). The insoluble inorganic salts were removed by filtration through celite and the filtrate was evaporated to dryness. The crude material was vigorously washed with methanol (500 cm³) and redissolved in toluene (200cm³). The remaining inorganic salts were again removed by filtration through celite, and the filtrate was evaporated to yield the title compound (27.1 g, 75 %) as yellow crystals (Found: C, 35.8; H, 1.5; N, 6.7. $C_{12}H_6Br_2N_2O_4$ requires C, 35.9; H, 1.5; N, 7.0 %); mp. 150 °C (lit., 36 149 °C); v_{max} / cm⁻¹ (Neat solid) 730, 829, 1004, 1103, 1344, 1526; δ_H (500 MHz, CDCl₃) 7.18 (2H, d, *J* 8.2, Ar*H*), 7.85 (2H, dd, *J* 8.2, 2.0, Ar*H*), 8.39 (2H, d, *J* 2.0, Ar*H*); δ_C (100 MHz, CDCl₃) 122.9, 128.1, 131.9, 132.0, 136.6, 147.4.

4,4'-Dibromo-biphenyi-2,2'-diamine

Reference: D. A. Patrick, D. W. Boykin, W. D. Wilson, F. A. Tanious, J. Spychala, B. B.C., H. J.E., D. C.C., O. K.A., and R. R.R., *Eur. J. Med. Chem.*, 1997, **32**, 781.

To a solution of 4,4'-Dibromo-2,2'-dinitro-biphenyl (15.0 g, 37.3 mmol) in ethanol (abs., 186 cm³) was added 32 % w/w aqueous HCl (124 cm³). Tin powder (17.6 g, 147 mmol) was added portion-wise over 10 minutes and the reaction mixture was heated to reflux at 100 °C for 2 hours. After cooling, the mixture was poured into ice water (ca. 400 cm³) and then basified with 20% w/w aqueous NaOH solution (150 cm³). The product was

extracted with diethyl ether and the organic layer washed with brine, dried over anhydrous MgSO₄ and evaporated to dryness. Purification by recrystallisation from ethanol afforded the title compound (9.2 g, 72 %) as light brown crystals (Found: C, 42.1; H, 3.0; N, 8.0. $C_{12}H_{10}Br_2N_2$ requires C, 42.2; H, 3.0; N 8.2 %); mp 103 °C (lit., ³⁷ 119-120 °C); v_{max} / cm⁻¹ (Neat solid) 792, 994, 1406, 1477, 1608, 3210, 3357, 3443; δ_H (400 MHz, CDCl₃) 6.92 (6H, s, ArH), 3.78 (4H, brs, NH₂); δ_C (100 MHz, CDCl₃) 118.1, 121.7, 122.0, 122.7, 132.2, 145.4; m/z (ES) 340.9283 ([M+H)⁺. $C_{12}H_{11}Br_2N_2$ requires 340.9284), 343.1 (100 %), 263.1 (80), 185.1 (25).

4,4'-Dibromo-2,2'-diiodo-biphenyl

4,4'-Dibromo-biphenyl-2,2'-diamine (5.0 g, 14.6 mmol) was suspended in 16 % w/w aqueous. HCl (16 cm³) at 0 °C. Sodium nitrite (2.2 g, 31.9 mmol) was added dropwise whilst maintaining the temperature at 0 °C. After a further 60 minutes of stirring at 0 °C, Kl solution (5.0 g, 30.1 mmol in 5 cm³ H_2O) was added dropwise to the reaction mixture at -10 °C. The reaction mixture was allowed to warm to room temperature, and then to 50 °C for 2 h. The crude reaction mixture was allowed to cool to room temperature and then basified with 10 % w/w aqueous NaOH (90 cm³). The product was extracted into diethyl ether and the organic layer washed with brine, dried with anhydrous MgSO₄ and evaporated. Purification by column chromatography (hexane) yielded the title compound (1.45 g, 15 %) as an off-white solid (Found: C, 25.8; H, 1.0. $C_{12}H_6Br_2I_2$ requires C, 25.6; H, 1.1 %); mp 89 °C; v_{max} / cm⁻¹ (Neat solid) 710, 817, 993, 1086, 1448, 1565; δ_H (400 MHz, CDCI₃) 7.03 (2H, d, J 8.2, ArH), 7.55 (2H, dd, J 8.2 1.9, ArH), 8.08 (2H, d, J 1.9, ArH); δ_C (100 MHz, CDCI₃) 99.8, 122.5, 130.7, 131.4, 141.0, 146.8.

2,7-Dibromo-9,9'-dihexyl-9H-9-silafluorene

t-Butyllithium (6.26 cm³, 10.6 mmol, 1.7 M in Pentane) was added over 2 h to a solution of 4,4'-dibromo-2,2'-diiodo-biphenyl (1.5 g, 2.66 mmol) in dry THF (30cm³) at -90 °C under nitrogen atmosphere. The mixture was stirred for a further 1 h at -90 °C. Dichlorodihexylsilane was subsequently added and the mixture was stirred at room temperature overnight. The reaction was quenched with distilled water, and the THF was removed by vacuum. The product was then extracted into diethyl ether and the organic layer washed with brine, dried with anhydrous MgSO₄ and evaporated. Purification by column chromatography (hexane) yielded the title compound (0.7 g, 52 %) as a colourless oil; v_{max} / cm⁻¹ (Neat liquid) 720, 813, 1001, 1072, 1384, 2855, 2923, 2956; $\delta_{H}(500 \text{ MHz}, \text{CDCl}_3)$ 0.84-0.97 (10H, m, CH₂+CH₃), 1.22-1.36 (16H, m, CH₂), 7.55 (2H, dd, *J* 8.3 2.0, Ar*H*), 7.64 (2H, d, *J* 8.3, Ar*H*), 7.70 (2H, d, *J* 2.0, Ar*H*); $\delta_{C}(100 \text{ MHz}, \text{CDCl}_3)$ 12.0, 14.0, 22.5, 23.7, 31.3, 32.9, 122.2, 122.5, 133.0, 140.4, 146.0; $\delta_{SI}(100 \text{ MHz}, \text{CDCl}_3)$ 4.1.

2,7-bis(4,4,5,5-tetramethyl-1,3,2-dioxaborolane-2-yl)-9,9-dihexyl-9H-9-silafluorene

t-Butyllithium (1.19 cm³, 2.02 mmol, 1.7 M in Pentane) was added over 30 minutes to a solution of 2,7-dibromo-9,9'-dihexyl-9H-9-silafluorene (0.25 g, 0.49 mmol) in dry THF (3 cm³) at -78 °C under-nitrogen atmosphere. The mixture was stirred for a further 1 h at -78 °C. 2-Isopropoxy-4,4',5,5'-tetramethyl-1,3,2-dioxaboralane (0.25 cm³, 2.02 mmol) was then added dropwise to the mixture and stirring continued overnight at room temperature. The reaction was quenched with distilled water, and the THF was removed by vacuum. The product was then extracted into diethyl ether and the organic layer washed with brine, dried with anhydrous MgSO₄ and evaporated. Purification by column chromatography (hexane) using florisil yielded the title compound (0.22 g, 74 %) as a white solid (Found: C, 71.2; H, 9.5. C₃₆H₅₆Br₂O₄Si requires C, 71.8; H, 9.4 %); ν_{max} / cm⁻¹ (Neat liquid) 1093, 1143, 1345, 1597, 2922; δ_C(100 MHz, CDCl₃) 12.3, 14.1, 22.6; 23.8, 24.9, 31.3, 33.0, 83.7, 120.5, 136.8, 137.5, 139.7, 151.0; δ_{SI}(100 MHz, CDCl₃) 3.2.

Polymer Examples

Polymer example 1: A homopolymer according to the invention was prepared by Suzuki polymerisation of Monomer 1 followed by end-capping with bromobenzene and phenylboronic acid according to the following scheme to afford dibenzosilole polymer PS6:

To a dried Schlenk tube was added 2,7-dibromo-9,9-dihexyl-9H-9-silafluorene (84 mg, 0.17 mmol, 1.0 equiv.), 2,7-bis(4,4,5,5-tetramethyl-1,3,2-dioxaborolane-2-yl)-9H,9dihexylsilafluorene (100 mg, 0.17 mmol, 1.0 equiv.), palladium(II) acetate (1.0 mg, 45 μmol, 2.7 %) and tricyclohexylphosphine (5 mg, 178 μmol, 10.7 %) under nitrogen atmosphere. Dry toluene (2.5 cm3) was added and the mixture was stirred at 90 °C for 5 min. 20% w/w Tetraethylammonium hydroxide aqueous solution (1.0 cm³) was then added. The mixture was stirred for a further 2 h. To the mixture was then added phenylboronic acid (20.3 mg, 17 mmol, 1.0 equiv.), and after stirring for 1 h. bromobenzene (26.1 mg, 17 mmol, 1.0 equiv.) was added. After stirring for a further 1 h. the mixture was cooled to room temperature and poured into stirring methanol (30 cm³). The precipitate was dissolved in toluene (10 cm³) and reprecipitated in stirring methanol (50 cm³). The precipitated product was filtered and then dried in vacuo to yield the title compound (90 mg, 78 %) as a pale grayish green solid. GPC assay in CHCl₃ vs. narrow polystyrene standards revealed $M_{\rm w}=8.7\times10^4$, $M_{\rm n}=1.4\times10^4$, $M_{\rm p}=1.0\times10^5$, PDI = 7.41; v_{max} / cm⁻¹ (Neat solid) 731, 820, 1062, 1248, 1408, 1447, 2854, 2920, 2955; δ_H(400 MHz, CDCl₃) 0.50-1.60 (m, CH₂+CH₃), 6.40-7.00 (brm, ArH), 7.40-8.10 (brm, ArH); δ_C(125 MHz, CDCl₃) 11.2, 14.1, 22.6, 24.6, 31.4, 33.1, 121.2, 129.0, 131.8, 138.8,

139.9, 147.2; δ_{Si} (100 MHz, CDCl₃) 3.07.

Polymer example 2: A copolymer according to the invention was prepared by Suzuki polymerisation as disclosed in WO 00/53656 with a diboronic acid of di(n-hexyl)fluorene followed by end-capping with bromobenzene and phenyl boronic acid to afford Polymer PS6F6 as shown below:

Poly(9,9-dihexyl-2,7-fluorenyl-alt-9,9-dihexyl-2,7-silafluorenyl)

To a dried Schlenk tube was added 9,9-dihexyl-2,7-dibromo-9H-9-silafluorene (84 mg, 0.17 mmol, 1.0 equiv.), 2,7-bis(4,4,5,5-tetramethyl-1,3,2-dioxaborolane-2-yl)-9,9-dihexylfluorene (97 mg, 0.17 mmol, 1.0 equiv.), palladium(II) acetate (1.0 mg, 45 μ mol, 2.7 %) and tricyclohexylphosphine (5 mg, 178 μ mol, 10.7 %) under nitrogen atmosphere. Dry toluene (2.5 cm³) was added and the mixture was stirred at 90 °C for 5 min. 20%

w/w Tetraethylammonium hydroxide aqueous solution (1.0 cm³) was then added. After 1 hour, the mixture became very viscous and additional dry toluene (1.0 cm³) was added. The mixture was stirred for a further 1 h. To the mixture was then added phenylboronic acid (20.3 mg, 17 mmol, 1.0 equiv.), and after stirring for 1 h, bromobenzene (26.1 mg, 17 mmol, 1.0 equiv.) was added. After stirring for a further 1 h, the mixture was cooled to room temperature and poured into stirring methanol (30 cm³). The precipitate was dissolved in toluene (10 cm³) and reprecipitated in stirring methanol (50 cm³). The precipitated product was filtered and then dried in vacuo to yield the title compound (100 mg, 93 %) as a pale grayish green solid; GPC assay in CHCl₃ vs. narrow polystyrene standards revealed $M_w = 4.24 \times 10^5$, $M_n = 1.09 \times 10^5$, $M_p = 4.96 \times 10^5$, PDI = 4.55; v_{max} / cm^{-1} (Neat solid) 734, 815, 1064, 1252, 1378, 1426, 1452, 2854, 2923, 2954; δ_{H} (500 MHz, CDCl₃) 0.74-0.89 (m, CH_2 + CH_3), 1.00-1.20 (m, CH_2), 1.20-1.55 (m, CH_2), 2.10 (brs, CCH_2), 7.50-8.00 (m, ArH); δ_{C} (125 MHz, CDCl₃) 12.4, 14.0, 14.1, 22.56, 22.59, 23.8, 24.0, 29.7, 31.4, 31.5, 33.1, 40.4, 55.3, 120.0, 121.2, 121.4, 125.3, 128.2, 129.2, 131.9, 138.9, 140.1 (2 signals), 140.3, 147.1, 151.7; δ_{S} (100 MHz, CDCl₃) 3.02.

Device Example

General method: Onto indium tin oxide supported on a glass substrate (available from Applied Films, Colorado, USA) was deposited a layer of PEDT / PSS, available from H C Starck of Leverkusen, Germany as Baytron P ®, by spin coating. A layer of electroluminescent polymer was deposited over the PEDT / PSS layer by spin-coating from xylene solution. Onto the layer of electroluminescent polymer was deposited by evaporation a cathode consisting of a first layer of calcium and a second, capping layer of aluminium.

Devices according to the invention were made according to the above method using Polymer PS6 and Polymer PS6F6.

For the purpose of comparison, a device was made according to the above method comprising a layer of poly-9,9-di(n-hexyl)-2,7-fluorene (hereinafter referred to as Polymer PF6).

As can be seen from the table below, the PS6 homopolymer according to the invention has a deeper LUMO level, i.e. it has a higher electron affinity, than the corresponding polyfluorene homopolymer PF6. At the same time, a wide HOMO-LUMO bandgap

similar to that of PF6 is preserved. Furthermore, data for the PF6S6 polymer shows that a deep LUMO level is preserved when the dibenzosilole units of the invention are conjugated with units having a shallower LUMO level.

Polymers	E _{onsel(ux)} (V) ^a	Eg opt (eV)b	HOMO (eV)°	LUMO (eV) ^d	
PF6	1.41	2.93	- 5.84	- 2.91	
PF6S6	1.48	2.92	5.91	- 2.99	
PS6	1.52	2.93	- 5.95	- 3.02	

^a Oxidative onset potential.

^b Optical band gap energy determined by the onset absorption (UV-Vis).

° Determined from $E_{\text{onset(ox)}}$ (taking energy level of ferrocene to be -4.8 eV under vacuum). d Determined from adding $E_{\text{g}}^{\text{opt}}$ to the HOMO energy level.

As can be seen from Figure 2(a), photoluminescence of the prior art PF6 polymer suffers from very significant colour shift over time towards the red end of the visible spectrum. Incorporation of dibenzosilole repeat units into the PF6 polymer, as shown in Figure 2(b), results in a very significant reduction in this colour shift, and colour shift for the S6 homopolymer, as shown in Figure 2(c), is negligible.

As can be seen from Figure 3, devices comprising PS6 or PS6F6 give sustained blue emission with emission maxima being identical to that of photoluminescence at 426 nm. The PF6 device on the other hand degraded very rapidly under current and showed a green emission upon operation, displaying a broad peak at about 540 nm.

Although the present invention has been described in terms of specific exemplary embodiments, it will be appreciated that various modifications, alterations and / or combinations of features disclosed herein will be apparent to those skilled in the art without departing from the spirit and scope of the invention as set forth in the following claims.

Claims

1) A polymer comprising an optionally substituted repeat unit of formula (I):

$$\begin{array}{c|c}
R & R \\
\hline
R^1 & Si & R^1
\end{array}$$
(I)

wherein each R is the same or different and represents H or an electron withdrawing group; and each R¹ is the same or different and represents a substituent.

- 2) A polymer according to claim 1 wherein at least one R¹ is a solubilising group.
- 3) A polymer according to claim 1 or 2 wherein each R¹ is the same or different and is independently selected from the group consisting of optionally substituted C₁₋₂₀ alkyl, C₁₋₂₀ alkoxy, aryl and heteroaryl.
- 4) A polymer according to any preceding claim comprising an optionally substituted aryl or heteroaryl second repeat unit.
- 5) A monomer comprising a repeat unit of formula (II):

$$X \longrightarrow X \longrightarrow X$$

(II)

wherein R and R^1 are as defined in any one of claims 1-3 and each X independently represents a polymerisable group.

A monomer according to claim 5 wherein each X is the same or different and is selected from the group consisting of boronic acid groups, boronic ester groups, borane groups and halide functional groups.

- A method of forming a polymer comprising the step of polymerising a monomer according to claim 5 or 6.
- 8) A method according to claim 7 wherein each X is the same or different and is a halide functional group, and the polymerisation is performed in the presence of a nickel complex catalyst.
- 9) A method according to claim 7 comprising the step of polymerising:
 - (a) a monomer of formula (II) wherein each X is a boron the same or different and is a boron derivative functional group selected from a boronic acid, a boronic ester and a borane, and an aromatic monomer having at least two reactive halide functional groups; or
 - (b) a monomer of formula (II) wherein each X is the same or different and is a reactive halide functional group, and an aromatic monomer having at least two boron derivative functional group selected from a boronic acid, a boronic ester and a borane; or
 - (c) a monomer of formula (II) wherein one X is a reactive halide functional group and the other X is a boron derivative functional group selected from a boronic acid, a boronic ester and a borane,

wherein the reaction mixture comprises a catalytic amount of a palladium catalyst suitable for catalysing the polymerisation of the aromatic monomers, and a base in an amount sufficient to convert the boron derivative functional groups into boronate anionic groups.

- 10) An optical device comprising a polymer according to any one of claims 1-4.
- 11) An optical device according to claim 10 comprising an anode, a cathode and a layer of the polymer according any one of claims 1-4 located between the anode and the cathode.
- 12) An optical device according to claim 11 that is an electroluminescent device.
- 13) A switching device comprising a polymer according to any one of claims 1-4.
- 14) A switching device according to claim 13 that is a thin film transistor.

15) An optionally substituted compound of formula (IV):

$$X^1$$
 X^2
 X^2
 X^1
 X^2
 X^2
 X^1
 X^2
 X^2
 X^2
 X^2
 X^2
 X^3
 X^4
 X^2
 X^2
 X^3
 X^4
 X^2
 X^4
 X^2
 X^4
 X^2
 X^4
 X^4
 X^2
 X^4
 X^4

wherein R is as defined in any one of claims 1-3; each X^1 and each X^2 are the same or different and represent a leaving group capable of participating in a transmetallation reaction and X^2 has an electronegativity less than that of X^1 .

- 16) Preferably, each X^1 and X^2 is independently a halogen.
- 17) A method of forming a monomer of formula (VI) from a compound of formula (V) according to the following scheme::

wherein the method comprises reacting the compound of formula (V) with a transmetallating agent followed by reaction with a compound of formula LG-Y-LG, wherein X^1 and R are as defined in claim 15; each X^3 is the same or different and represents a leaving group capable of participating in a transmetallation having an electronegativity less than or the same as that of X^1 ; Y represents a divalent residue comprising a backbone of 1-3 atoms; and each LG is the same or different and represents a leaving group.

18) A method according to claim 17 wherein Y comprises a single atom..in its backbone selected from the group consisting of $-CR_2^3$ -, $-SiR_2^3$ -, $-NR_2^3$ -, $-PR_2^3$ -, O and S, wherein R_2^3 is selected from the group consisting of optionally substituted alkyl, alkoxy, aryl and heteroaryl.

- 19) A method according to claim 17 or 18 wherein each X³ is the same or different and has an electronegativity less than that of X¹.
- 20) A method according to any one of claims 17-19 wherein each LG is the same or different and is a halogen.
- 21) A method according to any one of claims 17-20 wherein the transmetallating agent is a compound of formula R⁴-M wherein R⁴ is alkyl or aryl and M is a metal.



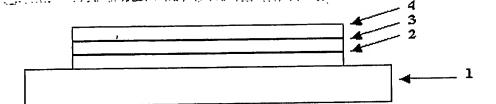


Figure 2a (prior art)

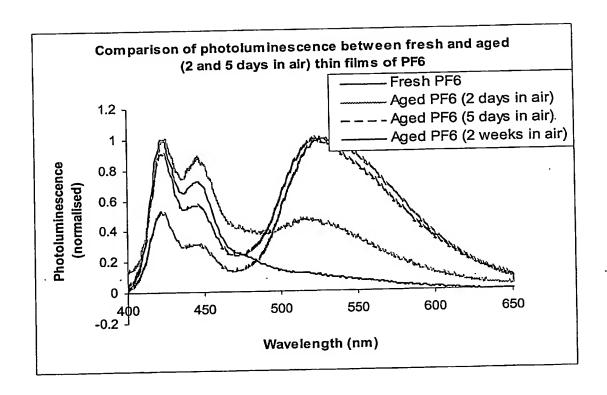


Figure 2b

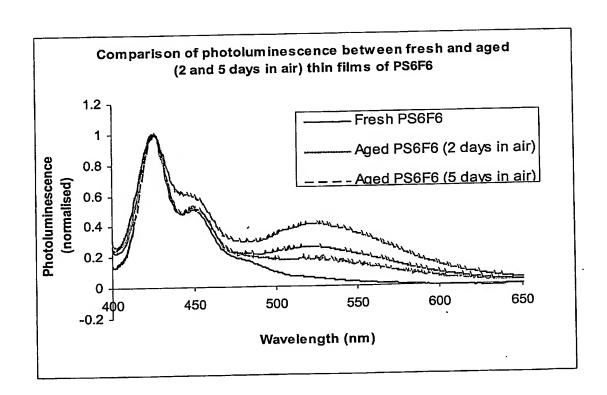


Figure 2c

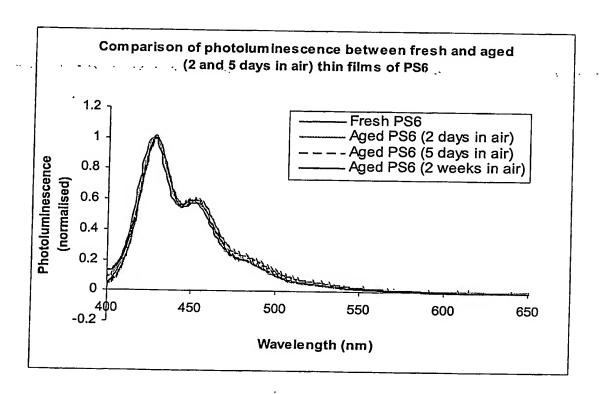
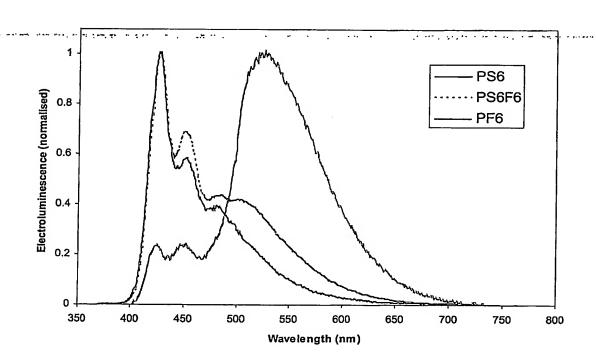


Figure 3



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